



Meeting of the SCF Trust Fund Committee

Washington, D.C. (Virtual)

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PPCR OPERATIONAL AND RESULTS REPORT SUMMARY



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SCF/TFC.16/3.2

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PROPOSED DECISION

The SCF Trust Fund Committee reviewed the document, SCF/TFC.16/2, *PPCR Operational and Results Report*, and welcomes the progress that has been made in advancing the work of PPCR in the pilot countries.

The SCF Trust Fund Committee welcomes the analysis conducted by the CIF Administrative Unit, in collaboration with the MDBs, on achievements and results, resource availability, pipeline review, and portfolio updates.

1 Introduction

1. This PPCR Operational and Results Report identifies key strategic issues, highlights decisions taken intersessionally by the PPCR Technical Committee, and provides a status update on the entire PPCR portfolio of programs and projects, as well as results achieved by PPCR countries. This report provides an update on the entire PPCR portfolio and results reporting of projects/programs under implementation for the period from January 1 to December 31, 2021 (with additional updates as of March 31, 2022 on resource availability). The status and trends in disbursements under the program are also presented for the same time period.

2 Strategic Issues

2.1 Overview

2. PPCR continues to make good progress with its operations. As of December 31, 2021, the PPCR Technical Committee has approved USD 980.7 million in funding for 82 projects. The program has reached a relatively mature stage of operation, with 27 projects completed. The project disbursement rate has reached 87 percent, increasing from USD 717 million in June 2020 to USD 847 million by the end of December 2021.

2.2 PPCR Resource Availability

3. As of March 31, 2022, PPCR funding has reached USD 1.17 billion and a total commitment of USD 1.13 billion.
4. The unrestricted fund balance of PPCR (after reserves) stands at USD 39.1 million, and anticipated commitments amount to USD 9 million (USD 4.3 million in capital resources and USD 4.7 million in grant resources) resulting in available resources amounting to USD 30 million (USD 21.9 million in capital and USD 8.1 million in grant). The MDB Committee will discuss options to make use of these available resources to further PPCR objectives. Table 1 summarizes available resources under PPCR.

Table 1: PPCR resource availability schedule

(USD million, as of March 31, 2022)

	Total	Non-grant	Grant
Unrestricted Fund Balance (C)	49.4	26.2	23.1
Future Programming Reserves	10.3		10.3
Unrestricted Fund Balance (C) After Reserves	39.1	26.2	12.8
Total Anticipated Commitments (D)	9.0	4.3	4.7
Available Resources (C-D)	30.0	21.9	8.1

2.3 Pipeline management

5. As of December 31, 2021, PPCR has a total of 84 projects in its portfolio and 82 of these projects have been approved by the PPCR Technical Committee, including 18 projects under PPCR's Business Development for Resilience Program (BDRP). Eighty-one of these 82 projects have been approved by the multilateral development banks (MDBs).

6. A total of 20 projects are under BDRP's confirmed pipeline, and seven projects are in the reserve pipeline requiring grant funding totaling USD 12.75 million.¹

2.4 Monitoring and Reporting

7. Due to the ongoing COVID-19 pandemic and the challenges many countries are facing, it was again deemed optional for countries to report results in this reporting period. While all PPCR country focal points were directly contacted by the CIF Administrative Unit and were strongly encouraged to resume reporting (if feasible), only four countries and one region submitted a report. Most PPCR countries have not submitted a report since the 2019 reporting period, which covered results achieved as of December 31, 2018.
8. The relatively low number of countries submitting results reports has led to a lack of comprehensive, up-to-date information on some of the key results achieved by PPCR, such as the total number of people supported to cope with the effects of climate change (PPCR Core Indicator 5). Current optics are weak on countries' progress in mainstreaming climate resilience (PPCR Core Indicators 1-2), as well as on countries' overall PPCR outcomes and impacts across projects.²
9. Beyond the pandemic, PPCR countries and projects are facing numerous challenges in sustainably maintaining a country-level PPCR M&R mechanism. In some PPCR countries, the M&R mechanism was tied to a technical assistance project that has since closed, in turn closing the M&R mechanism along with it. In other countries, some (but not all) PPCR projects have closed and the project teams have dissolved, making it challenging to organize a program-level M&R workshop. Several PPCR countries also reported that national focal points or MDB project teams have changed during the pandemic, with new teams either unaware or unable to implement their country-level PPCR M&R responsibilities. At the MDB level, as PPCR portfolios mature, it is also not uncommon for some projects to undergo restructuring, which typically leads to the addition and deletion of select indicators in a project's results framework and notable changes to indicator targets, in turn affecting reporting continuity over time.
10. In light of these challenges, enhancing PPCR M&R country engagement, support, and capacity-building is a strategic priority for CIF that will continue in fiscal year 2023 (FY23).³ The CIF Administrative Unit is working to support PPCR countries along several fronts: online M&R training sessions, targeted capacity-building opportunities, support for country reporting using the CIF Collaboration Hub (CCH), and piloting an M&R close-out exercise for countries with completed investment plans. Additional guidance on M&R for countries with completed and late-stage investment plans will follow.

3 Cross-Cutting Themes

3.1 Knowledge Management and Partnerships

11. The year 2021 saw considerable progress in generating knowledge and building partnerships through PPCR. Under the CIF's Knowledge for Resilience Series, two additional knowledge products were developed to showcase PPCR experiences and lessons in [climate-smart infrastructure](#), and [local stakeholder engagement](#). CIF also published the report, [COVID-19 and Climate-Smart Health Care](#)

¹ In March 2022, the total number of projects under the PPCR has increased to 88 with the addition of four projects under BDRP that were moved from the reserve pipeline to the confirmed pipeline when additional PPCR resources became available to finance these projects. The concept notes for these projects have been endorsed by the PPCR Technical Committee to proceed with proposal preparation.

² Most of the PPCR results data reported by MDBs are at the output level.

³ CIF's fiscal year 2023 is from July 1, 2022 to June 30, 2023.

which is the first deliverable under CIF's collaboration with the World Bank Group's Health, Nutrition, and Population Unit. The report lays out the dual risks that the COVID-19 pandemic and climate change pose to global health. It also provides recommendations on actions that the health sector can take during the COVID-19 response and recovery to tackle both the pandemic and climate change threats. These knowledge products were launched at COP26 through side events with MDBs and other partners.

12. CIF partnered with the Global Center on Adaptation and the African Development Bank in the implementation of the Youth Adaptation Solutions Challenge. The initiative aims to empower youth for entrepreneurship and job creation in climate adaptation and resilience. It awards winners business grants of up to \$100,000 each and the opportunity to participate in a 12-month resilience business accelerator program to help them identify climate adaptation and resilience solutions, scale up their businesses, deepen their impact, and create quality jobs. CIF helped to assess and select 10 winners who were announced at COP26. A series of learning modules focused on climate change, financial management, investor readiness, and digital marketing, has also been delivered as part of the accelerator program. Due to the unique challenges and needs for support for youth business leaders in francophone countries, an additional five young entrepreneurs from francophone countries have been selected to join the program
13. In August 2021, CIF also joined as a partner of the UNFCCC Nairobi Work Program (NWP) to contribute to its knowledge sharing and learning. PPCR is invited to provide inputs to knowledge development and dissemination and contributions to knowledge events, particularly on adaptation finance and private sector engagement in adaptation. This partnership will enable lessons from PPCR and new CIF programs to be shared with a wider audience through the UNFCCC process. In particular, CIF is exploring the potential to collaborate with NWP and UNFCCC-constituted bodies supporting adaptation (e.g., the Adaptation Committee and the Least Developed Countries Expert Group) for a climate resilience event at COP27.

3.2 Gender

14. In this reporting period, the PPCR SPCR portfolio performance is not included, since no new SPCRs have been approved since December 2017. The PPCR project portfolio gender scorecard performance shows an increase in the quality of the PPCR project portfolio from the June 2014 baseline in two of three scorecard indicator areas (i.e., presence of sector-specific gender analysis, women-targeted activities, and sex-disaggregated monitoring indicators). It also indicates an increase in projects that scored positively across all three scorecard indicator areas. Projects approved after the start date of GAP Phase 3 scored higher across all of three scorecard indicator areas and reflect an increase in the number of projects with three positive scorecard indicators, compared to projects approved during GAP Phases 1 and 2. All projects approved after GAP Phase 3 received upstream gender integration support, which contributed to the increased gender scorecard performance of these projects. For all projects, performance on gender analysis remained the same as in the baseline (78 percent of the total PPCR project portfolio that includes sector-specific gender analysis).

3.3 Risk Management

15. Implementation risk for PPCR increased but remained **Low**, as four out of 81 projects representing USD 45 million of MDB-approved program funding were flagged for this risk. The program's implementation risk score was also **Low** in the last reporting cycle but had been **High** for the prior six reporting cycles.

4 Status of PPCR Portfolio

16. As of December 31, 2021, PPCR has a total pipeline allocation of USD 985.3 million for 84 projects. This includes 60 projects under the endorsed strategic programs for climate resilience (SPCRs) of the original pilot countries, four projects under the private-sector set-aside (PSSA) window, and 20 projects under the BDRP. Eighty-two of these projects have been approved by Technical Committee and 81 approved by the respective MDB Boards. Seventy of these projects have disbursed a total of USD 847 million. Table 2 provides a summary of the portfolio status.

Table 2: Overview of PPCR portfolio (USD million, as of December 31, 2021)

	Indicative Pipeline Allocation				Approved Funding		Disbursement
	Total	IP	PSSA	BDRP	Committee	MDB	
PPCR Funding	985.3	940.4	19.2	25.6	980.7	978.7	847
Number of Projects	84	60	4	20	82	81	70

17. Compared to the last PPCR ORR, the total amount allocated for the PPCR portfolio has decreased by USD 27 million (from USD 1,012 million to USD 985 million). This is mainly due to projects that were completed and have returned unused funds.
18. Co-financing. Total expected co-financing for the 82 projects approved by the PPCR Technical Committee, amounts to almost USD 2.3 billion, or a co-financing ratio of 1:2.3. The MDBs remain the biggest source of co-financing, followed by recipient governments, bilateral/other donors, and the private sector.
19. PPCR Subcommittee and MDB Approvals. Since the last reporting period, the PPCR Technical Committee approved 12 projects for a total amount of USD 12.29 million and MDB boards or management approved 13 projects totaling USD 11.5 million.
20. Project Implementation and Disbursements. Apart from completed projects and those approved under the BDRP window, most PPCR projects are in an advanced stage of implementation and are nearing completion. Some of them have also experienced delays due to COVID-19 and the required social distancing guidelines and travel restrictions to minimize the spread of the disease. Commonly reported delays include interruptions in activities and outputs related to supply of goods, availability of equipment, civil works, and stakeholder engagement.
21. By the end of December 2021, 70 projects were disbursing PPCR funds, and cumulative disbursements reached USD 847 million. The level of project disbursements as a percentage of MDB-approved funding for projects continues to increase, reaching 87 percent.
22. Project Completion. From the beginning of January 2021 to the end of December 2021, 11 additional PPCR projects reached completion, bringing the total number of completed projects to 27.

5 Results

23. Due to the ongoing COVID-19 pandemic and related challenges in organizing PPCR M&R workshops and data validation with in-country stakeholder groups, PPCR countries were not formally required to submit annual country results reports in RY2022. This PPCR results report marks the third consecutive reporting year that PPCR results are based primarily on project-level data from MDBs.
24. Although not formally required to submit annual results report in RY2022, all active PPCR countries⁴ were contacted and encouraged to submit a full or adapted report in line with their national circumstances. Among the 17 countries and two regions contacted, four countries and one region submitted a full or adapted report this year: Haiti, Jamaica, St. Lucia, Zambia, and the Caribbean Region.
25. In PPCR's current state of maturity, projects are demonstrating strong performance in achieving their intended sectoral outputs. Overall, eight out of 10 MDB-reported indicators are now achieving more than 90 percent of their respective program-level targets, and many have achieved well over 100 percent. PPCR also continues to make good progress on country-reported outcomes in spite of the data gap. A total of 7,111,863 women and 7,955,419 men have now been supported to cope with the effects of climate change, and 3,180,376 households, 5,619 communities, 25,494 businesses, and 3,251 public services have adopted PPCR-supported tools, instruments, strategies, and activities. Moreover, the latest country-reported data may represent an undercount of the real achieved results on the ground to date, since the majority of PPCR countries have not been able to provide updated results figures since the reporting period prior to the COVID-19 pandemic (i.e., results achieved as of 2018).
26. Additional cumulative results and those achieved in reporting year 2022 (RY22), include the following:
 - PPCR has supported the integration of climate change into 755 plans, strategies, policies, and frameworks (94 percent of the total target of 802). Among these, 27 are at the national level (90 percent of target), 132 are at the sectoral level (92 percent of target), and 596 are at the local level (95 percent of target). A total of 118 plans, strategies, policies, and frameworks newly integrated climate change considerations as of 2021, representing a 19 percent increase from 2020, when the cumulative total reached 637 policies.
 - At least 208,509 people have been trained through PPCR projects, representing a 108 percent achievement against a target of 193,811 people. Trainees represent government agencies, project beneficiary communities, local small and medium enterprises (SMEs), as well as CSOs. Examples of topics covered include climate resilience measures, climate data tools, risk monitoring and management, and more.
 - A total of 778 knowledge products, studies, systems, platforms, and other technical outputs have been realized (94 percent of the total target of 828). This represents an additional 110 knowledge products from 2020 to 2021, or a 17 percent increase year on year.
 - PPCR has supported sustainable land and water management practices on 344,965 hectares (ha), a surface area larger than Samoa and Barbados combined. This represents 110 percent of the total target of 314,967 ha.
 - 2,406 hydromet and climate information services have been built or supported (151 percent of the total target of 1,595). Among these, 134 climate services were newly supported as of

⁴ Except Tonga, which was not contacted due to their national emergency at the time of reporting

2021, representing a 6 percent increase from 2020 when the cumulative total was 2,272 climate services.

- More than 11,850 small-scale infrastructural units have been constructed or rehabilitated in support of climate resilience as of December 31, 2021 (107 percent of the total target of 11,104). Out of these, 4,207 units were newly constructed or rehabilitated in 2021, representing a 55 percent increase year on year from 2020 when the cumulative total was 7,643 small-scale infrastructural units.
- PPCR has constructed or rehabilitated 2,657.8 kilometers (km) of climate-resilient roads as of December 31, 2021 (99 percent of the target of 2,695.4 km). Approximately 181.8 km of these roads were added in 2021 alone, representing a 7 percent increase from 2020 when the cumulative total was 2,476 km of climate-resilient roads constructed or rehabilitated.
- As of December 31, 2021, a total of 636.2 km of embankments, drainage systems, sea walls, waterways, and flood defense protection systems have been constructed or rehabilitated (37 percent of the total target of 1,700.4 km) and an area of 45,633 ha has been protected from floods, sea-level rise, and storm surges (44 percent of the target of 103,946 ha). In 2021 alone, an additional 78.2 km of embankments were supported, a 14 percent increase from 2020, and an additional 10,750 ha of the area were protected, a 31 percent increase from 2020.
- Overall, 11,571 beneficiary entities have been supported through PPCR adaptation financing facilities and mechanisms as of December 31, 2021 (105 percent of the target of 11,038 entities). Among the 11,571 entities supported: 7,546 are people, 411 are organizations or businesses, 1,555 are sub-projects, 1,866 are communities, and 193 are localities. Approximately 3,197 new or additional entities were supported in 2021, which represents a 38 percent increase from 2020 when the cumulative total was 8,374 entities.



The Climate Investment Funds

The Climate Investment Funds (CIF) were established in 2008 to mobilize resources and trigger investments for low carbon, climate resilient development in select middle and low income countries. To date, 14 contributor countries have pledged funds to CIF that have been channeled for mitigation and adaptation interventions at an unprecedented scale in 72 recipient countries. The CIF is the largest active climate finance mechanism in the world.

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