

Zambia PPCR Mission Outline Notification

Joint Programming Mission to Support Zambia Preparation Towards Developing the Pilot Program for Climate Resilience (PPCR) Strategic Program for Climate Resilience

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Mission Dates: It is proposed that the mission take place from November 16 to 27, 2009 under the leadership of the Government of Zambia.

Mission Objectives: To develop a Phase I proposal for the formulation of a Strategic Program for Climate Resilience, through broad-based consultation, dialogue with key stakeholders, and analysis of key development plans, policies, and strategies.

To optimize the mission time, the following activities are being reviewed prior to the mission:

1. **Stocktaking** of relevant information on climate resilience
2. **Compiling of all country level programs and activities** that are planned or already in place, relevant to the PPCR.
3. **Initial consultation** with relevant stakeholders

This will allow the joint mission to be forward-looking and focus on the following main activities:

1. **Analysis** of stocktaking and key documents
2. **Engagement of** key stakeholders
3. **Agreement on priority sectors and activities** for Phase I focus
4. Advance the preparation of the **Phase I proposal**

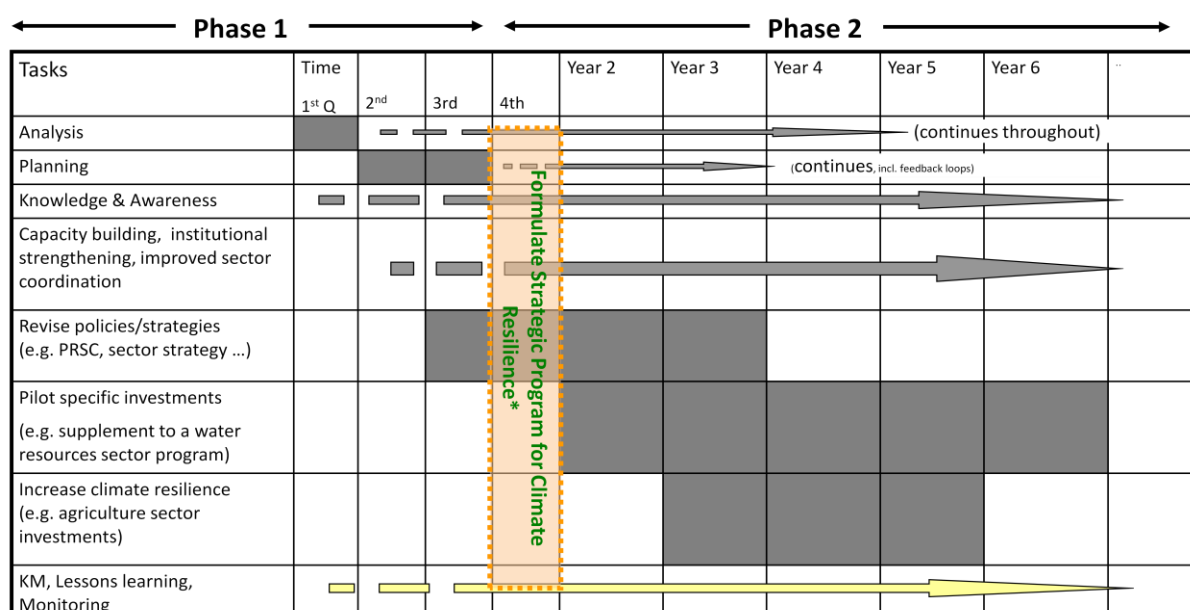
Background

Zambia has been selected as one of three African countries for the implementation of the Pilot Program on Climate Resilience. The Pilot Program for Climate Resilience (PPCR) is the first Program under the Strategic Climate Fund (SCF) of the Climate Investment Funds (CIF). The objective of the PPCR is to provide incentives for scaled-up action and transformational change through pilot projects that demonstrate how to integrate climate risk and resilience into core development planning, while complementing other ongoing development activities in a given country. PPCR programs will be country-led, and will enable pilot countries to transform country-specific plans and investment programs to address climate risks and vulnerabilities, building on National Adaptation Programs of Action (NAPAs) and other relevant country studies and strategies.

The PPCR will be implemented in two phases: Phase I for the Formulation of Strategic Program for Climate Resilience and Phase II to integrate Climate Resilience into Core Development Plans, Budgets and Investments.

The preparatory process includes a joint mission to assist the country in putting in place a clear process for Phase I (Formulating a Strategic Program for Climate Resilience). The mission will be led by the Ministry of Finance and National Planning of the Government of Zambia (GRZ) in order to ensure a country-driven process. It includes the World Bank, the African Development Bank (ADB), the International Finance Corporation (IFC), the United Nations Development Programme (UNDP) and DFID, in collaboration with other key stakeholders (other government agencies, development partners, civil society and private sector).

Phase I will coincide with Zambia's preparation of the 6th National Development Plan, due in June 2010. Together with other key economic planning and strategy processes, this will be a key target for mainstreaming under Phase I of the PPCR. The Joint Mission hopes to assess, on a structured way, the key gaps needed for the preparation of a Strategic Program for Climate Resilience in a way that best harmonizes and complements existing initiatives. The final Phase I proposal is expected to focus on key analytical, planning, knowledge, institutional and policy/strategic gaps, as illustrated on Figure 1 below:



Scope of Work

The mission scope of work follows the preparatory work of two consultants, engaged by the Ministry of Finance and National Planning and funded by DFID, whose terms of reference are attached as Annex A. This start-up work will enable the mission to focus on the following tasks:

1. **Analysis** of stocktaking and key documents
2. **Engagement of** key stakeholders
3. **Agreement on priority sectors and activities** for Phase I focus
4. Advance the **preparation of the Phase I proposal**

1. Analysis of Stocktaking and Key Documents

Based on the stocktaking made by the consultants, the mission would identify the key programmatic gaps on the following Phase I priority areas:

- **Analytical needs**, particularly with regards to climate change trends, sectoral impacts, and adaptation options (both historical and projected). Recent examples of analytical work in other developing countries (e.g. Mozambique) would be considered to evaluate potential gaps.
- **Planning and strategic needs**, particularly with respect to mainstreaming into major upcoming strategic documents, such as the Sixth National Development Plan, the Medium Term Expenditure Framework and Zambia's Smart Growth Strategy, amongst others.
- **Knowledge and Awareness needs** - in particular, how to reach out to key constituencies: (a) high level policy makers; (b) community leaders; and (c) private sector.
- **Capacity Building, Institutional Strengthening and Improved Coordination** - focusing particularly on strengthening the links between national economic planning, environment, disaster risk management, civil society and the private sector to achieve a common goal: climate resilience.
- **Revised Policies** – focusing on the critical legal and policy gaps necessary to achieve climate resilience (e.g. adoption of infrastructure norms).

The consulting team would also summarize for the mission the analysis of key national documents, such as the NAPA, The Vision 2030, the National Progress Report on the Hyogo Framework for Action, the Report on Climate Change and 2010 National Budget, the PRSP 2006 Fifth National Development Plan, and elements of the 6th National Development Plan (amongst others). This would enable the mission and key stakeholders to review the potential gaps in programmatic mainstreaming during the initial 2-day mission discussion workshop.

2. *Engagement of key stakeholders*

The mission is expected to engage representatives from the following stakeholders

Government of Zambia:

Ministry of Finance and National Planning

Ministry of Tourism, Environment and Natural Resources:

Climate Change Facilitation Unit

Environment Department

Forestry Department

Environment Council of Zambia

Zambia Wildlife Authority

Office of the Vice President (Disaster Management and Mitigation Unit)

Policy Analysis and Coordination Division (Cabinet Office)

Ministry of Agriculture

Department of Agriculture

Department of Irrigation

Ministry of Energy and Water Development

Department of Water

Department of Energy

Department of Planning

Ministry of Health

Ministry of Commerce, Trade and Industry

Private Sector Development Programme

Zambia Development Agency

National Food and Nutrition Commission

Ministry of Local Government/Decentralization Secretariat

Ministry of Communication and Transport

Zambia Meteorological Department

Ministry of Works and Supply

Buildings Department

Roads Development Agency

Ministry of Mines and Minerals Development

Ministry of Community Development and Social Services

Ministry of Education

Zambezi River Authority

University of Zambia

Copperbelt University of Zambia

Private Sector:

Chamber of Commerce and Industry

Zambia Federation of Employees

Association of Consulting Engineers

Economics Association of Zambia

Chamber of Mines

Civil Society:

- Zambia Land Alliance
- Zambia Climate Change Network
- Pelum Participatory and Ecological Land Use Management
- Green Living Movement
- Zambia Ornithological Society
- Jesuit Center for Theological Reflection
- Energy and Environment Concerns of Zambia

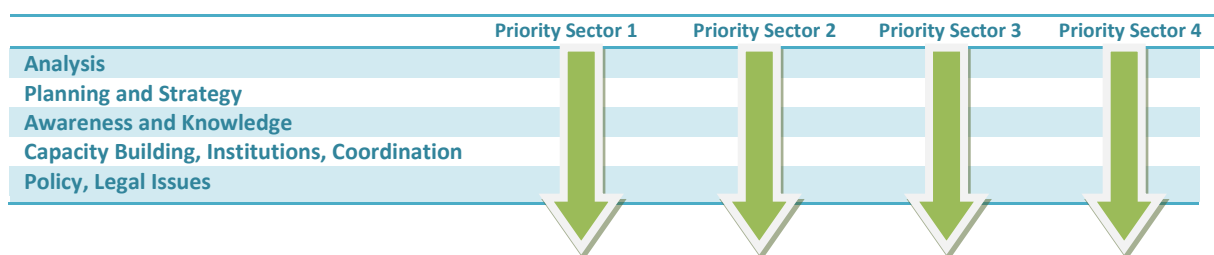
Development Partners

- Cooperating Partner Group on Environment

3. Agreement on priority sectors and activities for Phase I

The Ministry of Finance and National Planning would organize an initial 2-day consultative stakeholder workshop aimed at securing a consensus amongst broad number of participants (government, civil society and private sector) on the priority sectors to mainstream. Following the workshop, the mission continue with more intensive consultations with key stakeholders in the priority sectors, aiming at helping build the elements of the proposal for Phase I.

The aim of this consultative process would be to identify opportunities and gaps to mainstream climate resilience into priority sectors, while complementing other programs and processes (both on-going and planned) in Zambia. The analysis would be carried out both horizontally as well as vertically, as illustrated below. Sectoral discussions would be under the responsibility of mission specialist. In addition, each thematic area (e.g. analysis, planning and strategy) would be under the responsibility of one of the senior mission members.



To ensure national ownership, MoFNP would lead the mission. Each key donor representative would designate a lead representative to the management team. The team leaders would take final and joint responsibility for the drafting of the Phase I draft Proposal. In addition,

While the mission would seek to describe the above framework in a programmatic way, it would seek to be selective in the choice of final activities in order to keep in mind four key principles:

1. The activities ultimately selected under Phase I should be **achievable in a relatively short time** (6-10 months). It is better to have a few focused activities (e.g. an effective policy change) than many dispersed activities that may not achieve the desired results at the end of Phase I.
2. They should **optimize a window of opportunity** – the mainstreaming of climate resilience into the 6th National Development Plan and related strategies and plans.
3. At the end of Phase I, they should **lead to an implementable Program for Climate Resilience**, complementary to existing and planned financing.
4. They should keep the main goal in mind – to **ultimately optimize climate resilience in Zambia**.

4. Assist Zambia in the Preparation of Phase I Proposal

To the extent possible, the mission would assist the Government of Zambia and its key stakeholders in preparing a proposal for Phase I. The draft outline would seek to include:

- A. Background
 - Country Context
 - Participatory Process Followed
 - Cooperation with Other Agencies
- B. Stocktaking Summary
- C. Objective of the PPCR
- D. Linkages to National Processes
- E. Priority Focus and Activities
- F. Institutional and Funding Arrangements
- G. Budget
- H. Schedule

Mission Composition

The mission would consist of the following experts whose names and roles are described on Table B:

- Chief Economist (Team Leader)
- Economic Planner
- Adaptation/ Risk Management Specialist (co-Team Leader)
- Climate Change Specialist
- Environment Advisor
- Climatologist
- Agriculture Specialist
- Transport/Infrastructure Specialist
- Water Sector Specialist
- Vulnerability and Social Protection Specialist
- Public Awareness Specialist
- Private Sector/Energy Specialist
- Biodiversity/Tourism Specialist
- Civil Society/Media Specialist
- Decentralized Government/Institutions Specialist
- Health Specialist

Mission Outcomes

The mission outcomes will be:

- (a) a brief Aide Memoire outlining the process followed during the Joint Mission;
- (b) a draft Proposal for Phase I (to be completed after the mission) – this will incorporate the findings of the stocktaking, the prioritization of the workshop, and the outcomes of the consultations.

Mission Schedule

See the proposed calendar in the adjoining page (Table A).

TABLE A. MISSION CALENDAR							
	Monday 16	Tuesday 17	Wednesday 18	Thursday 19	Friday 20	Saturday 21	Sunday 22
AM	<p>PPCR Workshop – DAY 1</p> <p>Opening by PS of Finance</p> <p>Opening by Mission - Objectives of Mission</p> <p>Presentation (Cons/MFNP) – Review of Economic Mainstreaming Doc’s</p> <p>Vision 2030; PRSP; MTEF, Budget</p> <p>Discussion</p> <p>Presentation (Consultants) – Stocktaking</p> <p>Review of NAPA; Hyogo; CC Strategy; Sectoral Docs</p>	<p>PPCR Workshop – DAY 2</p> <p>Stakeholder discussions:</p> <p>Private sector</p> <p>Civil Society</p> <p>National Government</p> <p>Decentralized Government</p> <ul style="list-style-type: none"> - Gap analysis (themes): Analytical needs Awareness Planning and strategy Institutions/coordination Capacity building Policy and legal 	Meeting with Climate Change Steering Committee	Consultation with priority sectors	Consultation with priority sectors	Write-up	Write-up
PM	Sectoral prioritizations – towards a stakeholder consensus (facilitated session)	Continuation Presentation of findings Closure of workshop Dinner	Break-down consultation with priority sectors	Consultation with priority sectors	Consultation with priority sectors		
7 p.m.			Mission meeting		Mission meeting		

	Monday 23	Tuesday 24	Wednesday 25	Thursday 26	Friday 27	Saturday 28	Sunday 29
AM	Sector Leaders: Consultation with priority sectors (cont’d) Mission Leaders: Thematic discussion	Write-up Proposal Phase I (Team Leaders) Consultation (Team members)	Write-up Proposal Phase I	Discussion Aide Memoire	Finalization Aide Memoire		
PM	Write-up Proposal Phase I	Write-up Proposal Phase I (Team Leaders) Consultation (Team members)	Write-up Proposal Phase I				
7 p.m.		Mission meeting					

Table B. Mission Members and Description of Individual Duties

Agency	Name	Expertise	Expected contributions to mission outputs
Government of Zambia			
MoFNP	Mr. John Chunga	Overall Mission Leader Financial Planner	Jointly coordinate and lead mission activities. Review planning and strategic needs. Ensure engagement of key stakeholders. Review and consolidate inputs to Phase I proposal
MoFNP	David Kaluba	Economist	
MoFNP	Francis Mpampi	Principal Planner	
MTENR/CCFU	Mr. Makumba	Public Awareness	Review awareness and knowledge status, gaps and opportunities.
Office of VP/DMMU	TBC	Disaster Management	Ensure harmonization and complementarity with DRM initiatives (including Zambia's Hyogo review).
Consultant	Prof. Yamba	Climate Change Specialist	Complementarity in issues of climate change and vulnerability
Consultant	Mrs. P. N. Mwangala	Economic Planner	Complementarity in economic planning
Transport Sector Program			
	Michael Mulenga	Transport/Infrastructure Specialist	Together with key stakeholders, review opportunities and gaps to strengthen climate resilience in the transport sector, with particular focus on inundation norms, analytical needs, capacity building, policy and legal gaps, and institutional strengthening (Phase I priorities)
IBRD			
	Sofia Bettencourt	Co-Mission Leader (World Bank) Adaptation and DRM Expert	Jointly coordinate and lead mission activities. Review general stocktaking gaps for compliance with PPCR-CIF guidelines. Consolidate inputs into Phase I proposal.
	Mark Tadross	Climatologist	Review general analytical needs, particularly with regards to climate change trends, sectoral impacts and adaptation options. Propose key analytical and capacity building focal areas for Phase I.
	Marcus Wishart	Water Resources Specialist	Together with key stakeholders, review opportunities and gaps to strengthen climate resilience in water sector, with focus on key analytical needs, capacity building, institutional strengthening, policy and strategy, and awareness gaps

			(Phase I priorities).
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	Jean-Michel Pavy/Iris Dueker	Biodiversity/Tourism Specialists	Together with key stakeholders, review opportunities and gaps to strengthen climate resilience in the wildlife/tourism sectors, with focus on key analytical needs, capacity building, institutional strengthening, policy and strategy, and awareness gaps (Phase I priorities)
	Patricia Palale	Decentralization/Institutions	Assess the potential of key institutional and participatory incentives to strengthen climate resilience at the decentralized/municipality level (e.g. land zoning/rights).
	Jumbe Ngoma	Civil Society/Media Specialist	Together with Government counterparts, ensure the appropriate engagement and feedback of key civil society and media representatives during the mission.
African Development Bank			
	Anthony Nyong	Co-Mission Leader/Climate Change Specialist	Jointly coordinate and lead mission activities. Assess the potential of key policy and legal needs and frameworks. Consolidate inputs into Phase I proposal.
	Lewis Bangwe	Agriculture Specialist	Together with key stakeholders, review opportunities and gaps to strengthen climate resilience in agriculture sector, with focus on key analytical needs, capacity building, institutional strengthening, policy and strategy, and awareness gaps (Phase I priorities).
	Hang Shalaby	Environmentalist	Together with key stakeholders, review opportunities and gaps to strengthen climate resilience in environment sector, with focus on key analytical needs, capacity building, institutional strengthening, policy and strategy, and awareness gaps (Phase I priorities).
International Finance Corporation			
	Christelle Beyers	Co-Mission Leader (IFC) Energy Specialist	IFC Advisory Services input to mission, including capacity building, market development and technical assistance. Private sector contribution (strategic alignment) to mission analysis and findings.

UNDP			
	Georgina Fekete	Co-Mission Leader (UNDP) Deputy Country Director	Coordination of UNDP Team (part-time)
	Winnie Musonda	Assistant Resident Representative and Environmental Advisor	Review capacity building, institutional and coordination needs in terms of climate change resilience and identify points for capacity development including institutional arrangements with the development partners
	Frank Pinto	Climate Change and Development Advisor	Discuss with line ministries and donors/agencies the impacts of climate change on development and attainment of MDGs and identify entry points for PPCR as well as joint initiatives that could be implemented with development partners including roles and responsibilities
	Assan Ng'ombe	Programme Officer/Focal Point (Climate Change Adaptation)	Assess the implementation of the NAPA in Zambia and propose interventions that could be implemented in the PPCR in the short-term for quick gains at both national and community level to promote climate change adaptation
DFID			
	Kelley Toole/ Laure Beaufigli	Vulnerability and Social Protection	Ensure that the most vulnerable are protected from the impacts of climate change, in particular through considering ways in which social protection measures could better integrate adaptation
	TBC	Health Specialist	Together with key stakeholders, review opportunities and gaps to strengthen climate resilience in the health sector, with particular focus on vector borne and nutritional impacts

ANNEX A:

TERMS OF REFERENCE FOR CONSULTANT FOR

PREPARATION FOR JOINT MISSION FOR PILOT PROGRAM FOR CLIMATE RESILIENCE (PPCR)

1. Introduction

Zambia has been selected as one of three African countries for the implementation of the Pilot Program on Climate Resilience, jointly managed by the Multilateral Development Banks (MDBs). The Pilot Program for Climate Resilience (PPCR) is the first Program under the Strategic Climate Fund (SCF) of the Climate Investment Funds (CIF). The objective of the PPCR is to provide incentives for scaled-up action and transformational change through pilot projects that demonstrate how to integrate climate risk and resilience into core development planning, while complementing other ongoing development activities in a given country. PPCR programs will be country-led, and will enable pilot countries to transform country-specific plans and investment programs to address climate risks and vulnerabilities, building on National Adaptation Programs of Action (NAPAs) and other relevant country studies and strategies.

The PPCR will be implemented in two phases: Phase I for the Formulation of Strategic Program for Climate Resilience and Phase II to integrate Climate Resilience into Core Development Plans, Budgets and Investments. The preparatory process includes a joint mission to assist the country in putting in place a clear process for Phase I (Formulating a Strategic Program for Climate Resilience). So, the mission will be led by government in order to ensure a country-driven process and supported by both MDBs in collaboration with other stakeholders (development partners, civil society and private sector).

1. Objectives

This exercise intends, within the preparatory process for the joint mission, to provide assistance to the Government, counterparts and interested partners successfully prepare for Phase I of the Pilot Program for Climate Resilience under full Government ownership. The main objectives of the consultancy are to:

- (i) Conduct a stocktaking of all relevant information on climate resilience;
- (ii) Compile all country level programs and activities that are planned or already in place, that could be relevant to the PPCR
- (iii) Support the preparation of Terms of Reference for the Joint Mission, and
- (iv) Support the development of the Joint Mission's program and composition.

(i) Stocktaking Analysis

The consultant should in particular focus on key issues that contribute to the design of a strategic Program, such as:

- **Climate change diagnosis:** how adequate are the data on climate change impacts, vulnerabilities and adaptation? If possible, consider (i) degree of disaggregation of data

by regions and by demographic groups, including by gender, (ii) whether existing quantitative data are complemented by qualitative information, (iii) accessibility of data for policy analysis, (iv) efforts to improve data collection and analysis, (v) indicators and use of participatory methods for monitoring, (vi) adequacy of climate data timeframes and spatial resolution for all key stakeholders, particularly given the specific needs of the private sector.

- **Climate resilience diagnosis:** have key social, economic and institutional constraints to climate resilience been identified? Have trends in national or sectoral vulnerabilities been identified?
- **Outcome of past activities:** What have been the past activities on climate change adaptation and climate resilience? What have been their outcomes?
- **Planned activities:** What are the new or planned activities in climate change adaptation/ resilience are already under discussion or in preparation?
- **Policies:** How do national and sectoral policies respond to climate risks? How do they affect the ability of communities and private sector to respond to climate shocks?

(ii) Compile Relevant Country Level Programs and Activities

The consultant would:

- Inventory all existing projects, studies and activities (planned and on-going) related to climate change adaptation and disaster risk management in Zambia and classify them by PPCR areas of relevance (e.g. Analysis, Planning, Policies/Strategies, etc, or equivalent Hyogo Framework Priority Areas)
- Inventory related sectoral projects (planned and on-going) with the potential of being mainstreamed by the PPCR – for example, education programs that are investing in new school construction that could use better cyclone-proof norms, infrastructure development projects that could use inundation norms, agriculture or water resources projects working in flooded or arid areas, etc.
- Carry out a preliminary analysis of what would be the comparative advantage of the PPCR relative to this harmonized national program.

(iii) Support the Preparation of the Mission Terms of Reference

The mission terms of reference should include:

- *A Review of the stocktaking analysis*
- *A review of the relevant country-level programs and activities and the role of the PPCR*
- *Consult with Stakeholders:* consult widely with key stakeholders to determine whether the existing participatory processes are appropriate
- *Identify Priority Sectors and Actions:* identify the priority sectors and major activities for climate resilience (and those that should be the target of the PPCR); role of public/private sectors; instruments that may mitigate risks and impacts.
- *Analyze Strategic Documents:* review National Development Plan, key sectoral plans and policies to determine whether they are climate resilient, whether national goals

are at risk of not being achieved if Climate Change is not taken into account, how they could be improved, and what role the PPCR could play

- *Institutional Arrangements:* Analyze whether current coordinating arrangements are adequate; identify leading Ministries; define the role of MDB
- *Proposal for Phase I:* Assist the Government in Preparing a Proposal for Phase I for PPCR-SC approval

(iv) Composition and Mission Plan -- 5 days after start of Consultancy

The consultant should assist the Government in identifying the names of mission members, positions, and institutions so as to constitute a balanced consultative group. In the process, he/she should liaise closely with the MDBs to ascertain their expected mission composition. The mission should be led by the Government, supported by both MDBs, in collaboration with development partners, private sector and civil society.

The consultant should also assist the Government in preparing a mission plan. This should include wide consultation with interested stakeholders from all relevant sectors, the private sector and the civil society. The mission plan and the mission composition must be provided to the PPCR Steering Committee for comments at least 2 weeks prior to the expected start of the mission, so that it can be published in the Web page of the CIF.

For further details and information on the guidelines of the Joint Missions, please consult www.worldbank.org/cif "Guidelines for Joint Missions"

2. Timing and duration

The exercise will be undertaken from 19th October to 30th November, 2009, 31 working days in total with the final draft completed by 16th November, 2009. The final report which will also include the outcomes of the Joint Mission should be ready by 30th November, 2009.

4. Implementation Arrangements Including Reporting

The main counterparts would be: Ministry of Finance and National Planning (MOFNP), Ministry of Tourism, Environment and Natural Resources (MTENR), PPCR Inter-Ministerial Task Force and the Environmental Donor Group (chaired by UNDP). The focal unit for the Consultant shall be the Ministry of Finance and National Planning who will provide all the necessary support and guidance to this process at end of each phase of the study as follows:

	<u>WK</u>
(i) Preliminary presentation of the findings (draft study report);	Start + 2 wks
(ii) Submission of the final document proposal.	Start + 3 wks

The final report will be presented and discussed with all relevant GRZ sectors, development partners and stakeholders. Simple description and repetition of existing/planned activities/ strategies should

be avoided. The consultant should produce a short and comprehensive report in English in hard and electronic versions.

5. Consultant's Profile

To undertake this assignment successfully a Consultant will be recruited locally. The consultant must have:

- A. Past experience working with the GRZ or international development agencies and NGOs in Zambia and in-depth knowledge of GRZ structures and programs;
- B. Familiarity and understanding Climate Resilience, climate change, disaster management and related scientific, technical, institutional and legislative issues.

- He/she must have an university degree (Masters) in development studies, environmental , social , political science or a related field;
- At least 10 years of cumulative experience working with national and local governments in the region and/or in developing countries;
- Excellent communication, networking and interpersonal skills;
- Demonstrated ability and contributions to economic policy development and guideline formulation;
- Analytical skills to evaluate and synthesize inputs from a range of disciplines related to Climate Resilience ;
- Excellent spoken and writing skills in English
- Ability to produce a consolidated final report.